

Status information of Sauren Fonds-Service AG and information about inducements in accordance with FinVermV (Verordnung über die Finanzanlagenvermittlung)

Status-based information

1. Company and address

Sauren Fonds-Service AG
Eckhard Sauren (Chairman of the Board of Directors)
Im MediaPark8 (KölnTurm)
50670 Köln

2. Contact details

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3. Type of activity, address of the licensing authority and registration number

Permission to operate as a financial investment intermediary pursuant to section 34f paragraph 1, page 1 no. 1 GewO

The licensing and registration body is the Cologne Chamber of Industry and Commerce, Unter Sachsenhausen 10-26, 50667 Cologne.

The registration number as financial investment intermediary is D-F-142-HG11-43.

4. Joint registry pursuant to section 11a paragraph 1 and section 34 GewO

The client may examine the entries in the joint registry of the German Chambers of Industry and Commerce (Deutscher Industrie- und Handelskammertags (DIHK) e.V., Breite Straße 29, 10178 Berlin, phone 0 180/ 600 58 50 (€ 0.20/call from German fixed rate network, maximum €0.60/call from mobile network), www.vermittlerregister.info.

5. Issuers and providers

As a distributor, Sauren Fonds-Service AG only provides investment advisory or investment intermediary services through fund units or funds' investment shares, which are managed or advised by Sauren Group companies Sauren Fonds-Service AG, Sauren Finanzdienstleistungen GmbH & Co. KG, Sauren Fonds-Research AG – "Sauren-Fonds"). Third party funds are brokered only occasionally and at the client's request.

In case of the provision of investment advisory services, there is no regular suitability test.

Information about inducements

Sauren Fonds-Service AG provides an advisory or intermediary service at the client's request for the Sauren fund-of-funds initiated by Sauren Financial Group (Sauren Finanzdienstleistungen GmbH & Co. KG, Sauren Fonds-Service AG, Sauren Fonds-Research AG), for which it receives and retains inducements. If Sauren Fonds-Service AG occasionally brokers third party funds on the client's request, it may receive and retain inducements from third parties. You will find further information on inducements in the subscription application form. You will get more information on inducements that Sauren Fonds-Service AG receives from third parties by request.

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